

10/518783

DT Rec'd PCT/PTO 2.0 DEC 2004.

Express Mail Label No. EV373692654US Dated: December 20, 2004

Docket No.: SONYJP 3.3-309
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Naoki Yoshida

International Application No.:
PCT/JP2003/007873

Group Art Unit: N/A

International Filing Date:
20 June 2003

Examiner: Not Yet
Assigned

For: TRANSMISSION APPARATUS, RECEPTION
APPARATUS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. These references were cited in the background section of the present specification and/or a corresponding International Search Report, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: December 20, 2004

Respectfully submitted,

By

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

LD-460\

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Naoki Yoshida
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	SONYJP 3.3-309
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-2002/0073425-A	06-13-2002	Arai et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	JP-2000-324472-A	11-24-2000	Sony Corp		1A
	BB	EP-1 096 797-A1	05-02-2001	Sony Corp		✓
	BC	JP-2000-333041-A	11-30-2000	Sony Corp		**
	BD	JP-11-187324-A	07-09-1999	Matsushita Electric Industrial Co., Ltd.		2A
	BE	JP-11-261908-A	09-24-1999	Toshiba Corp.		**
	BF	JP-2002-112186-A	04-12-2002	Toshiba Corp.		**
	BG	JP-2001-251602-A	09-14-2001	Matsushita Electric Industrial Co., Ltd.		**
	BH	JP-2000-285660-A	10-13-2000	Shin Woo Kyun		3A
	BI	GB-2 349 267-A	10-25-2000	Shin Woo Kyun		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

****With English Abstract Only**

1A – EP Patent Application 1 096 797 is an English Counterpart

2A – US 2002/0073425 is an English Counterpart

3A – GB 2 349 267 is an English Counterpart

533740.1

Examiner Signature	Date Considered
-----------------------	--------------------